

# Unitary non-backtracking eigenvalues



**Leo Torres**

October 2021

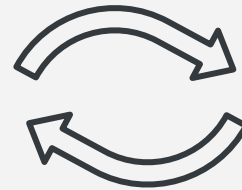
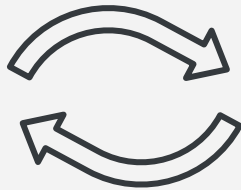
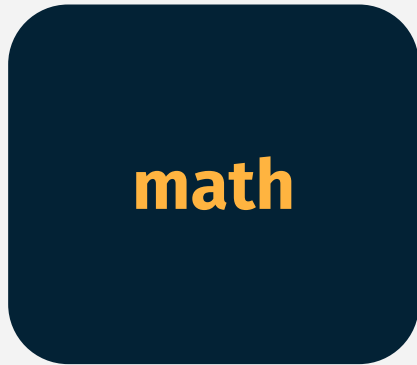


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PhD in Network Science

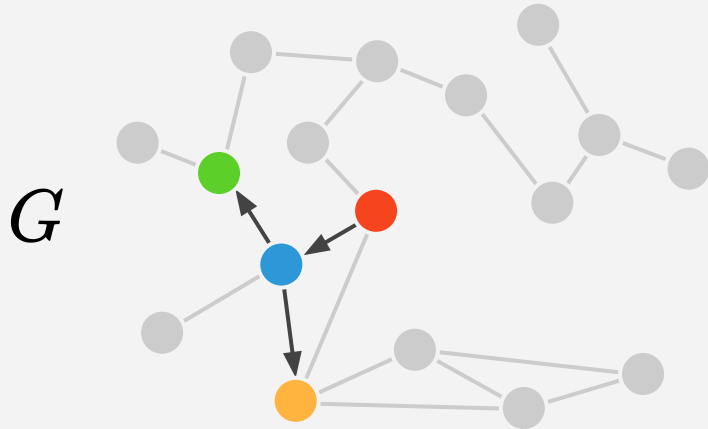
Network Science Institute, Northeastern University

[leo@leotrs.com](mailto:leo@leotrs.com)



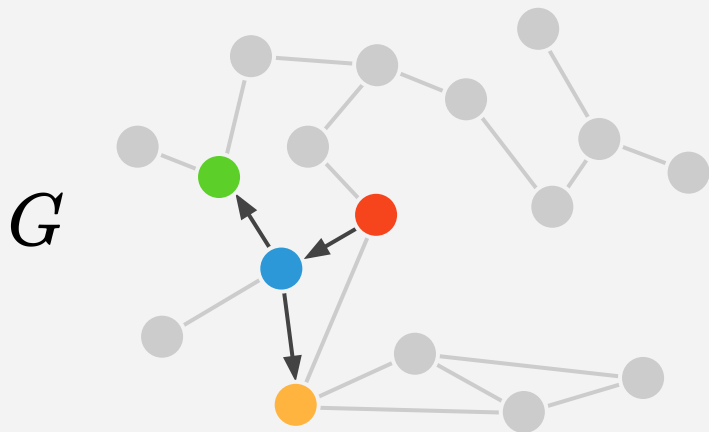
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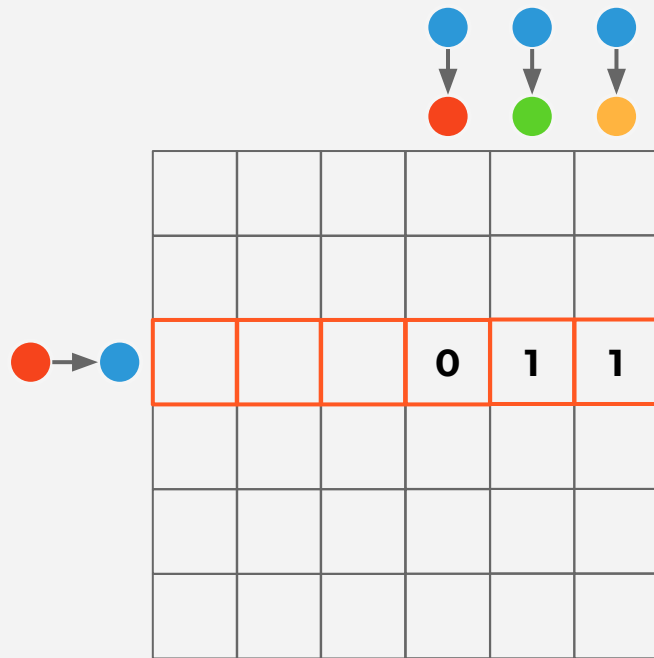


$$G = (V, E)$$

# Non-backtracking Matrix



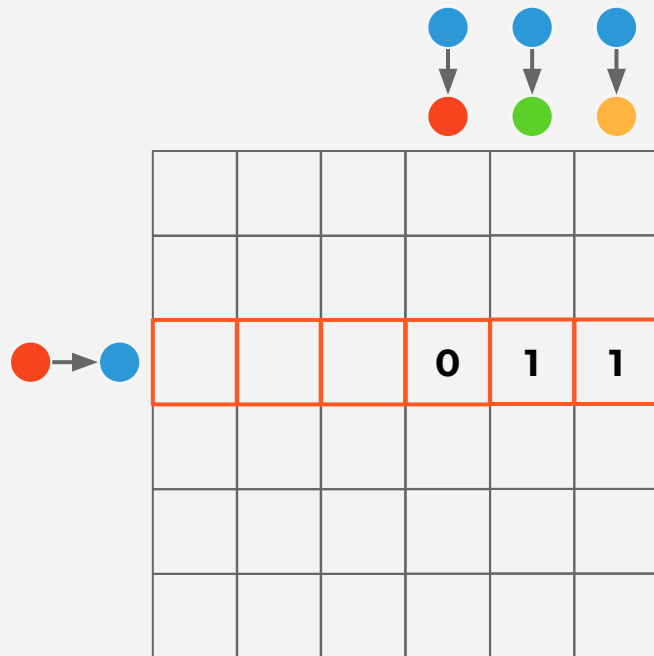
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$B$

# Non-backtracking Matrix

- community detection
  - Krzakala, et al. PNAS 110.52 (2013): 20935-20940.
  - Bordenave, et al. FOCS (2015).
- centrality
  - Martin, et al. Phys. Rev. E 90.5 (2014): 052808.
  - Morone & Makse. Nature 524.7563 (2015): 65-68.
  - Arrigo, et al. J. of Sci. Comp. 80.3 (2019): 1419-1437.
- dynamics (SIR, SIS)
  - Karrer, et al. Phys. Rev. Lett. 113.20 (2014): 208702.
  - Hamilton, & Pryadko. Phys. Rev. Lett. 113.20 (2014): 208701.
  - Shrestha, et al. Phys. Rev. E 92.2 (2015): 022821.
  - Castellano, & Pastor-Satorras. Phys. Rev. E 98.5 (2018): 052313.
  - Masuda, et al. J. of App. Math. 85.2 (2020): 214-230.



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most graphs I've seen have a simple spectrum...

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**WHY?**

# Questions

- What's different about the unitary eigenvalues?
- Can we compute their multiplicities?
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**related to certain  
subgraphs**

**yes!**

**maybe...**

**it (maybe) doesn't!**

# Setting

Let  $G$  be a graph with non-backtracking matrix  $B$ . Let  $B\mathbf{v} = \lambda\mathbf{v}$  and  $|\lambda| = 1$ .

## Tasks:

- study  $\mathbf{v}, \lambda$
- compute the number of such  $\mathbf{v}$  that are L.I.

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## Recall:

- $B$  has one row/column for each oriented edge (size  $2m \times 2m$ )
- $\mathbf{v}$  is a function of the oriented edges

# Some initial facts

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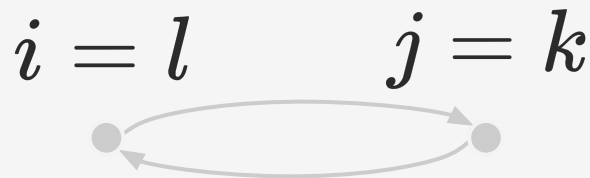
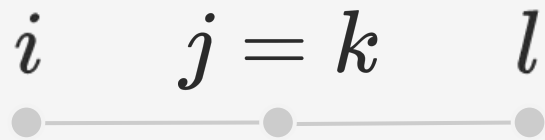




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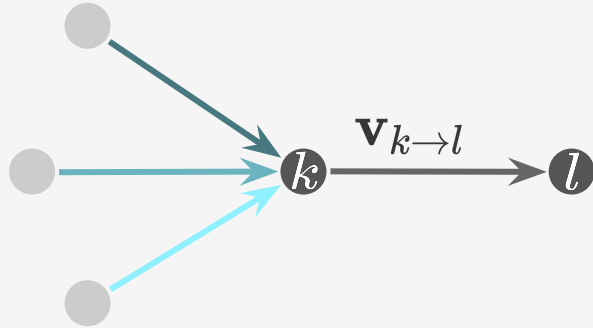
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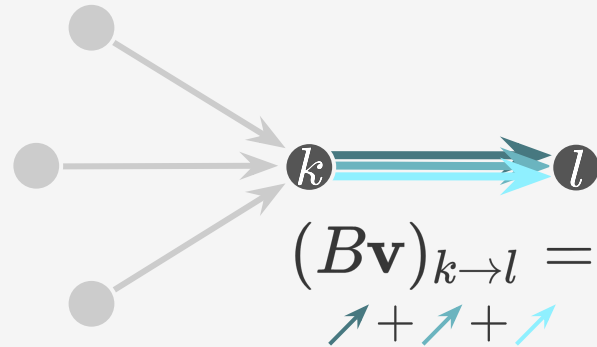
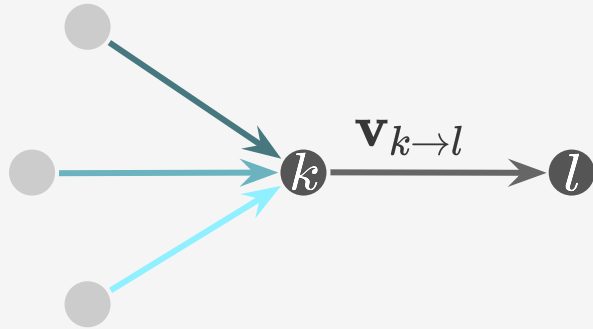
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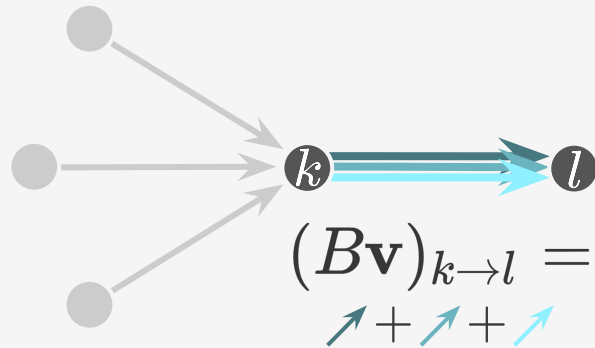
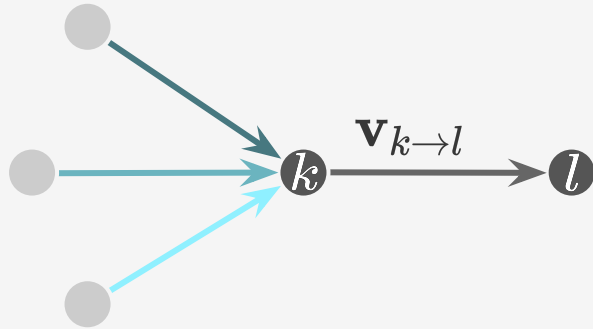


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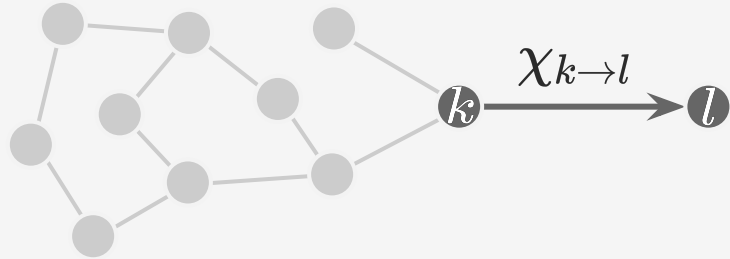
$$(B\mathbf{v})_{k \rightarrow l} = \vec{\mathbf{v}}^k - \mathbf{v}_{l \rightarrow k}$$

“v into k”



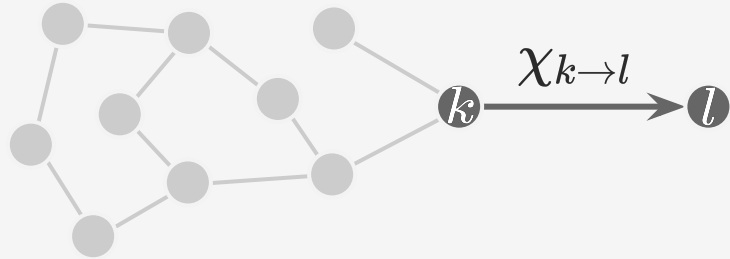
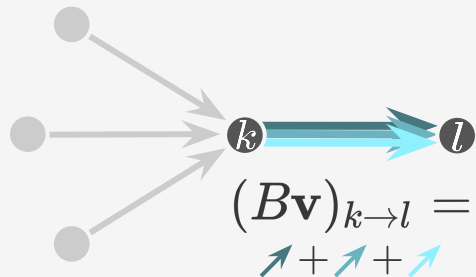
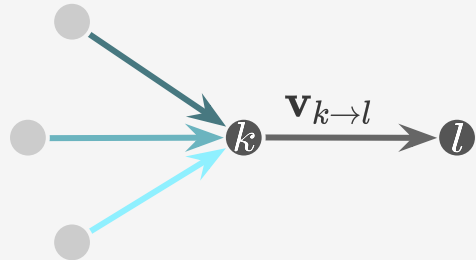
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- Take the characteristic function of a directed edge pointing to a node of degree one:



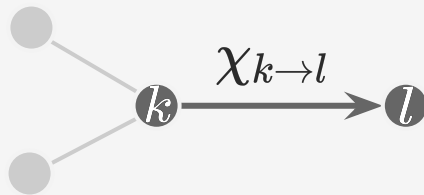
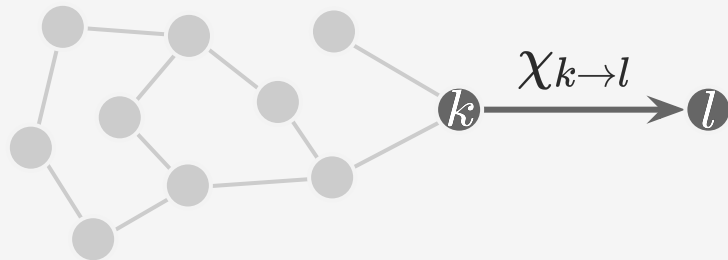
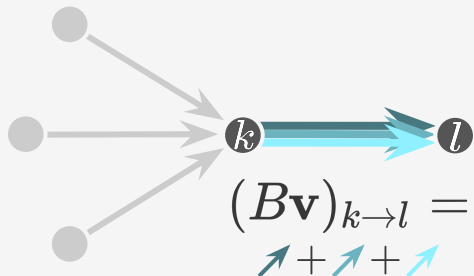
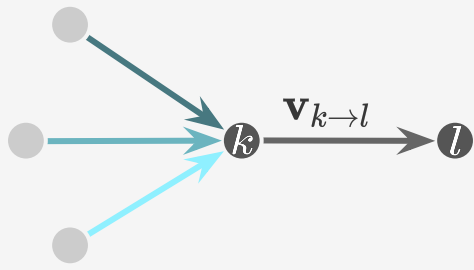
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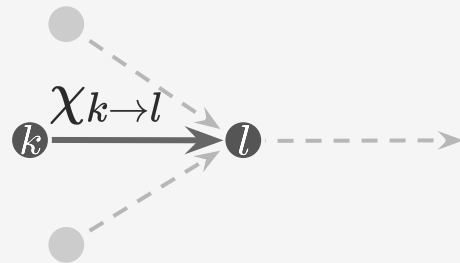
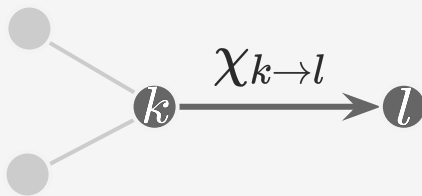
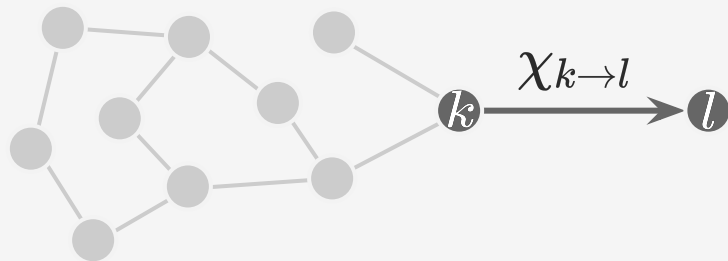
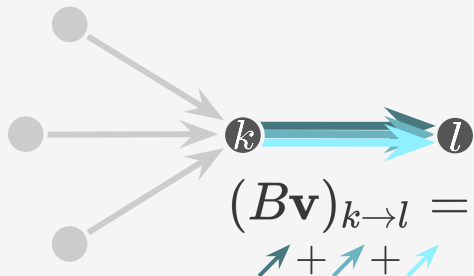
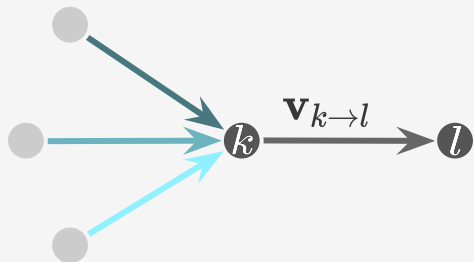
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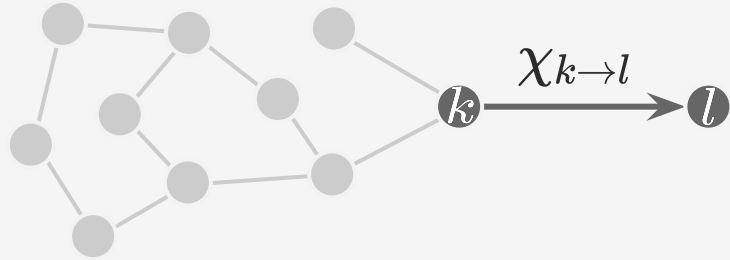
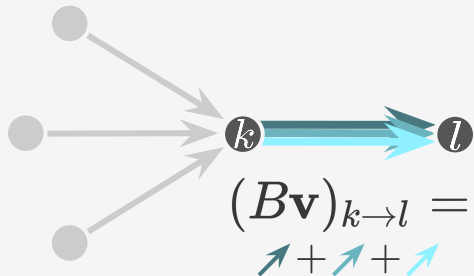
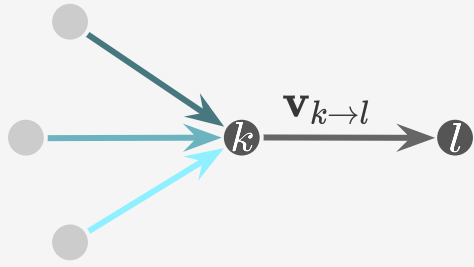
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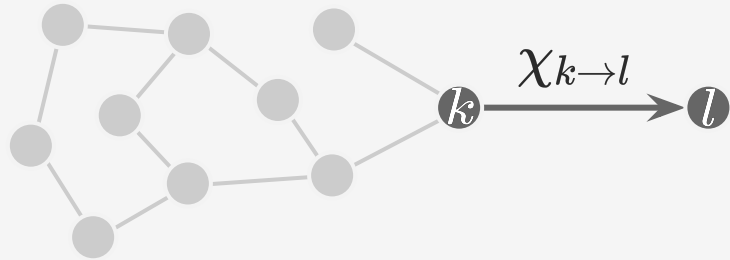


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**What's more: it can be shown that nodes of degree one do not influence the non-zero eigenvalues.**

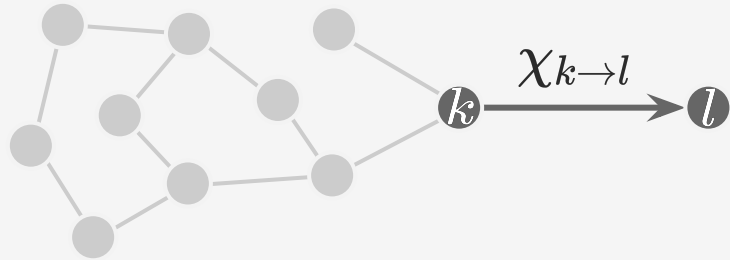


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**From now on, assume the graph has minimum degree 2.**

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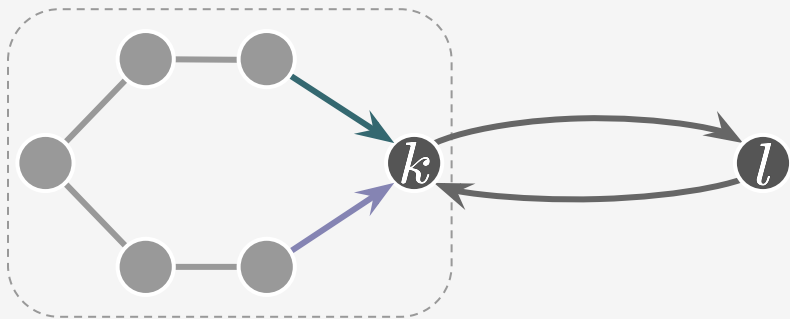
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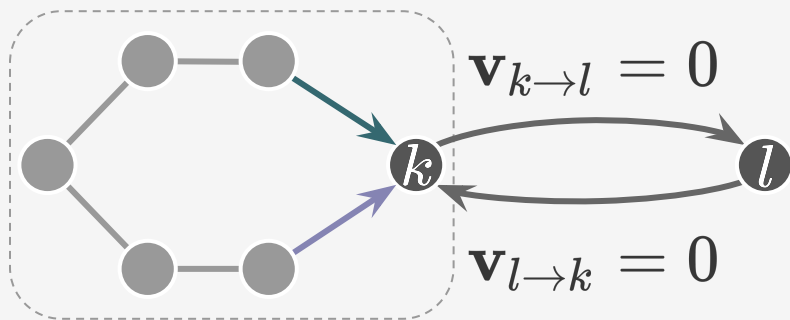
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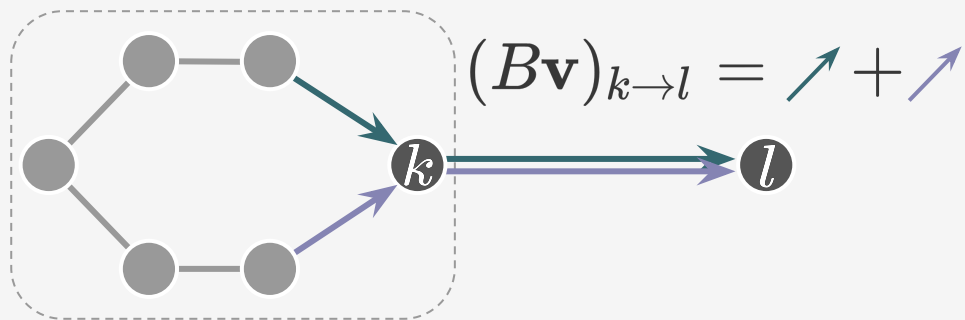
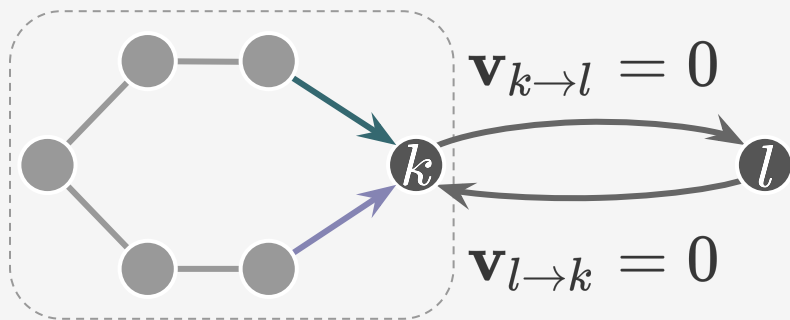
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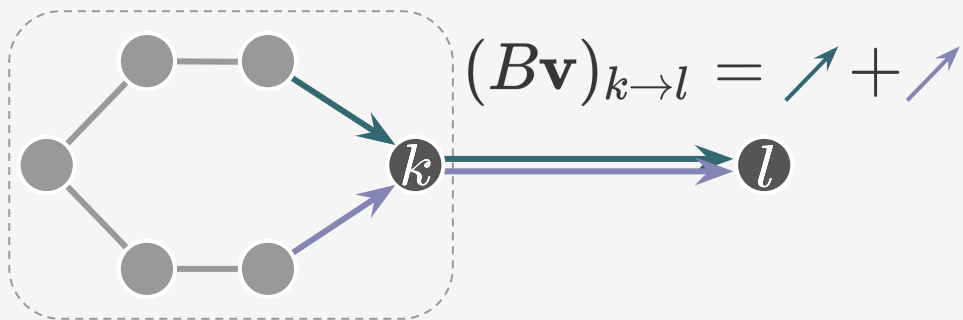
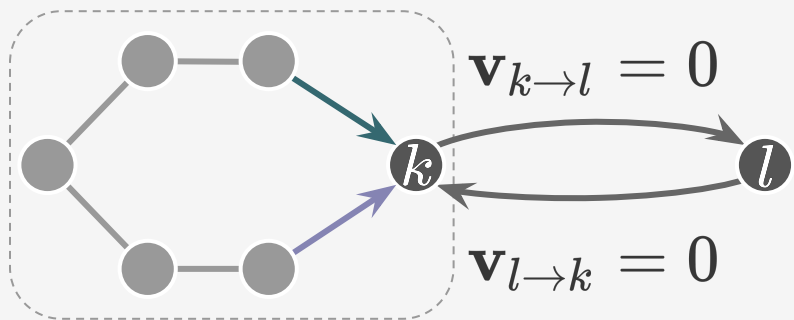
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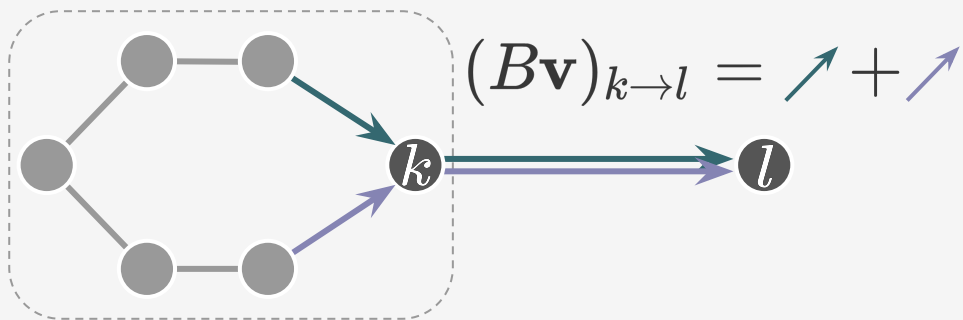
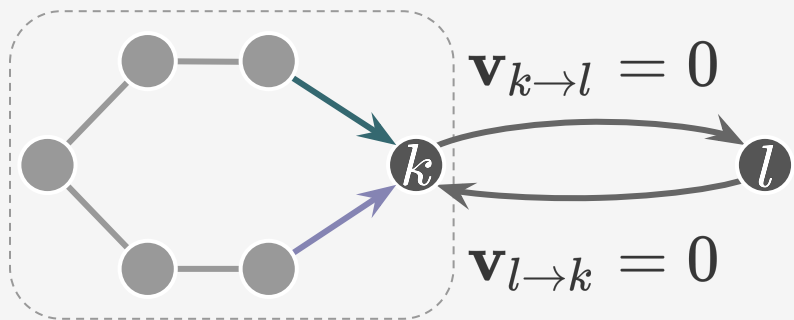


$$\vec{v}^k = 0$$

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$$\vec{v}^k = 0 \text{ or } d_k = 2$$



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- For any e'vector of unitary e'value:

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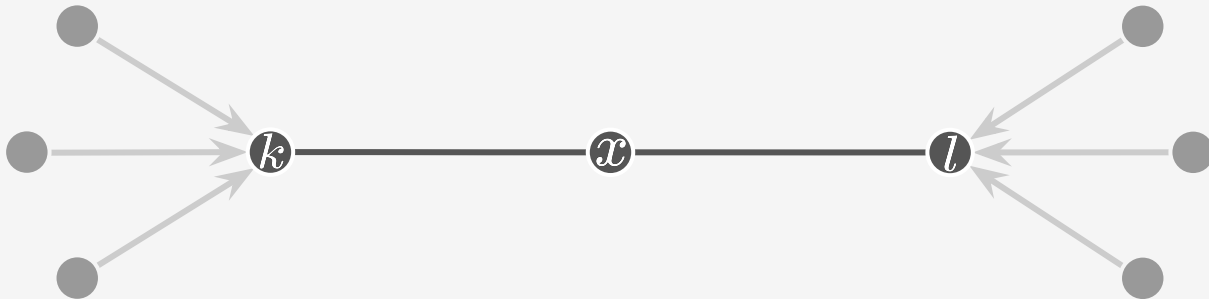
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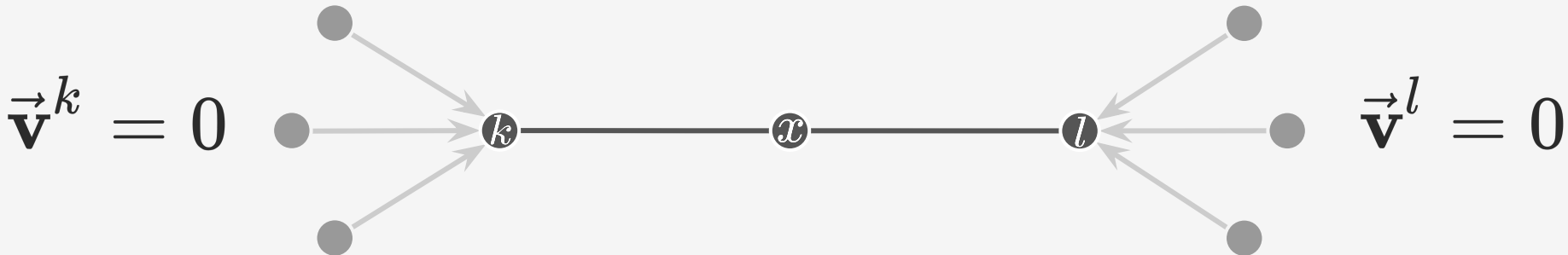


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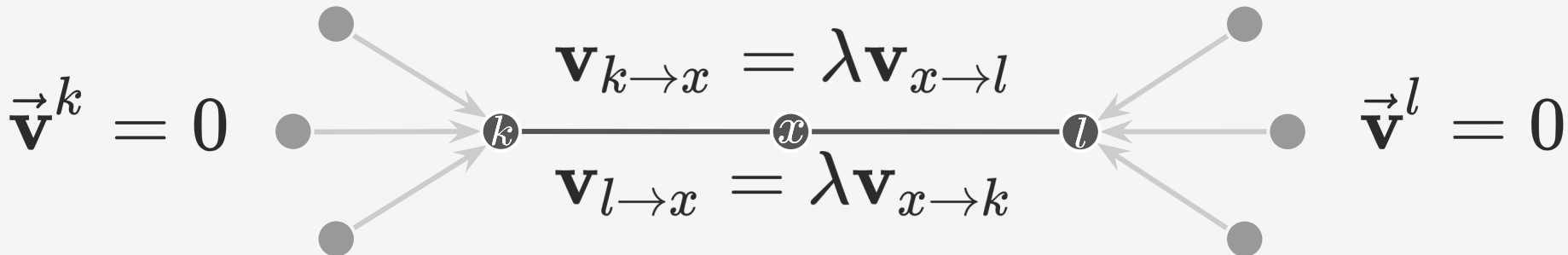


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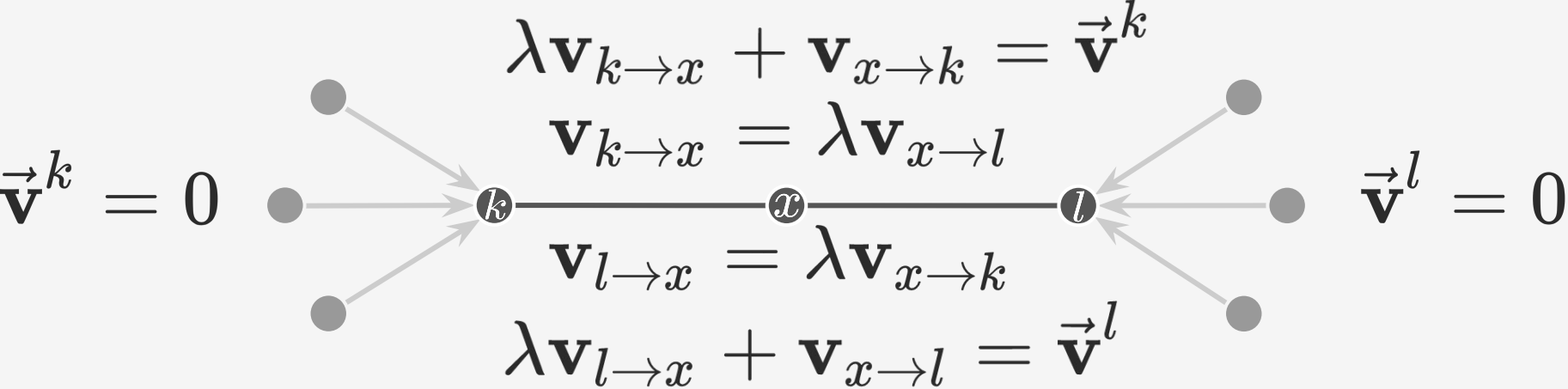


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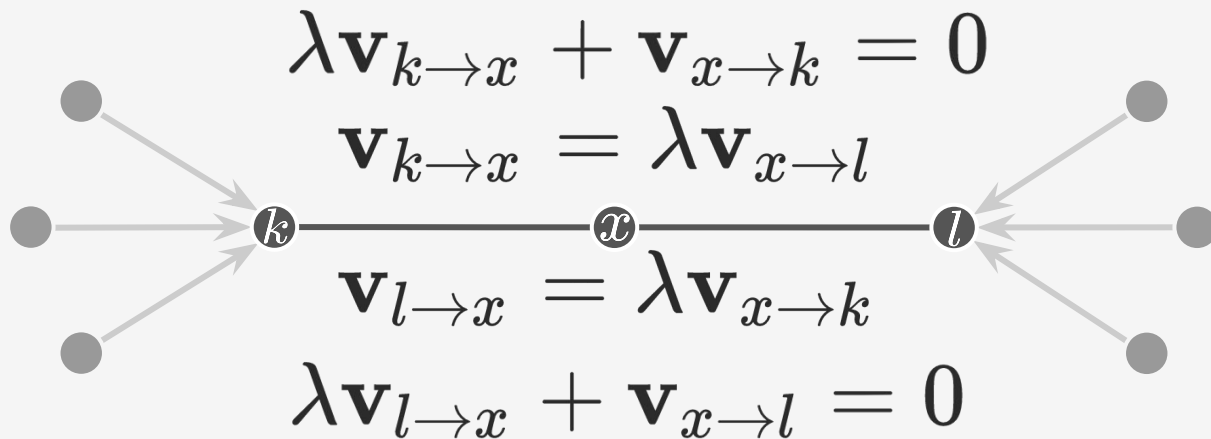


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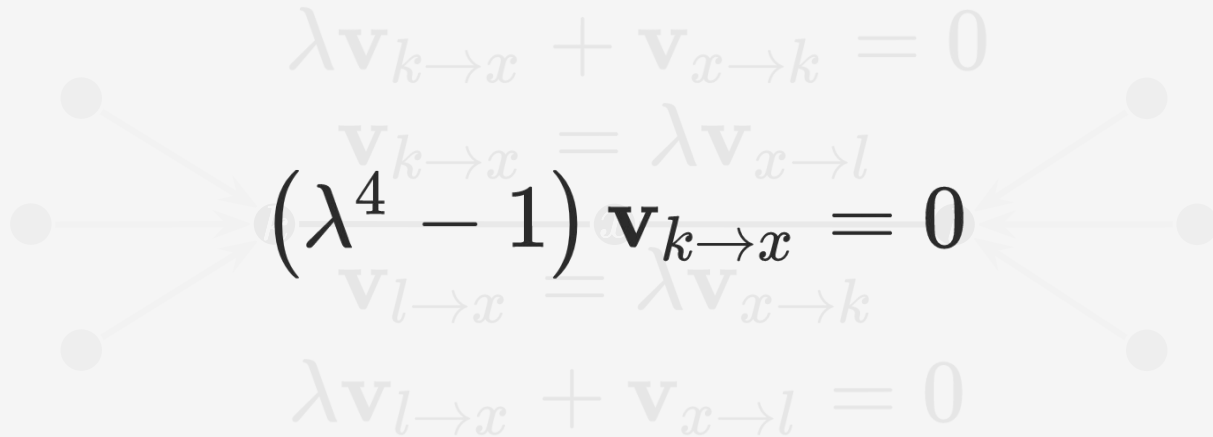


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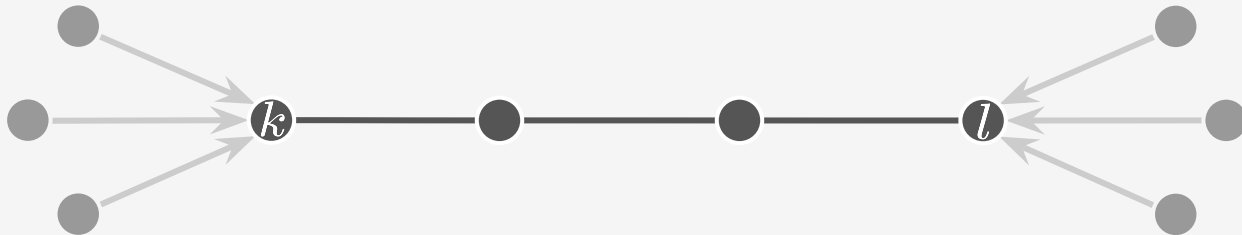
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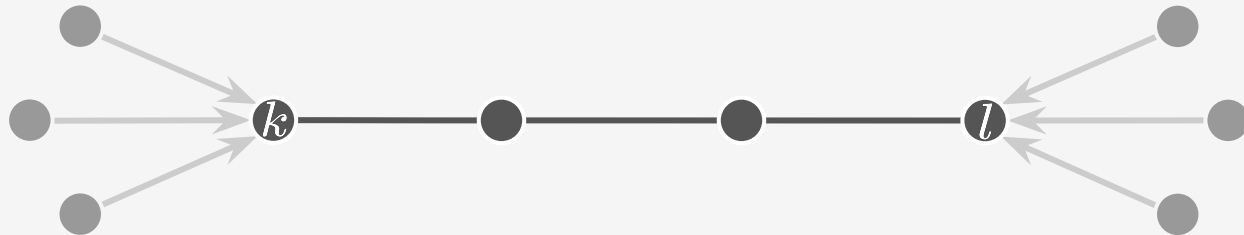


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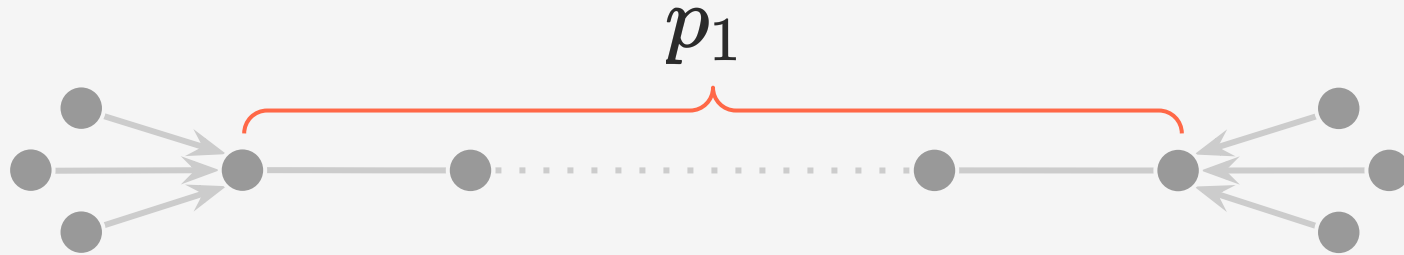
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# More assumptions...

## From now on:

1. finite
2. simple
3. undirected
4. unweighted
5. connected
6. minimum degree 2
7. not a circle graph (i.e. at least one node w degree 3+)

# Support of a unitary e'vector



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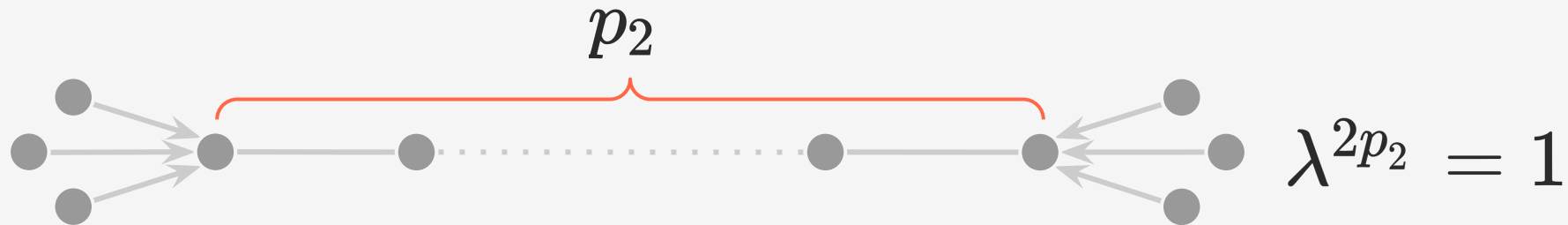
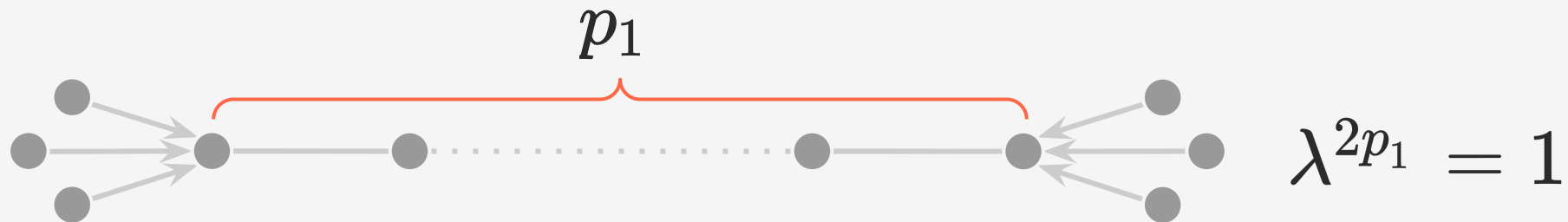
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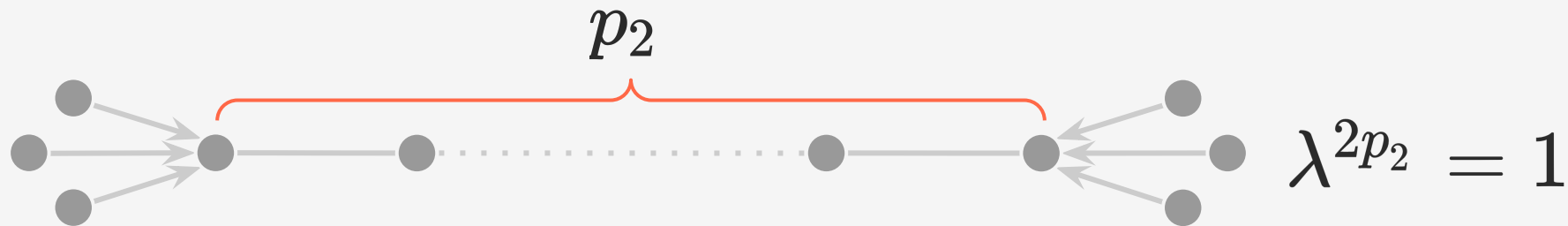
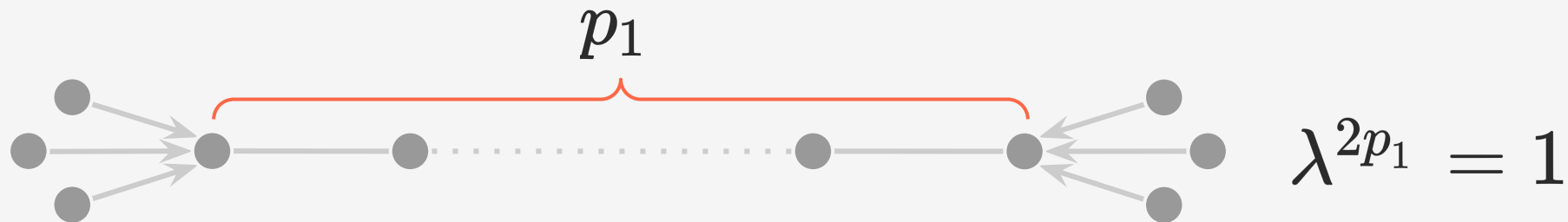
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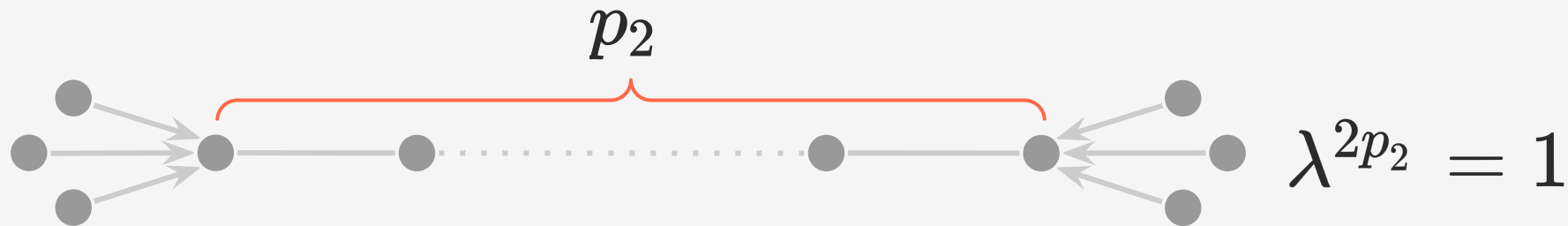
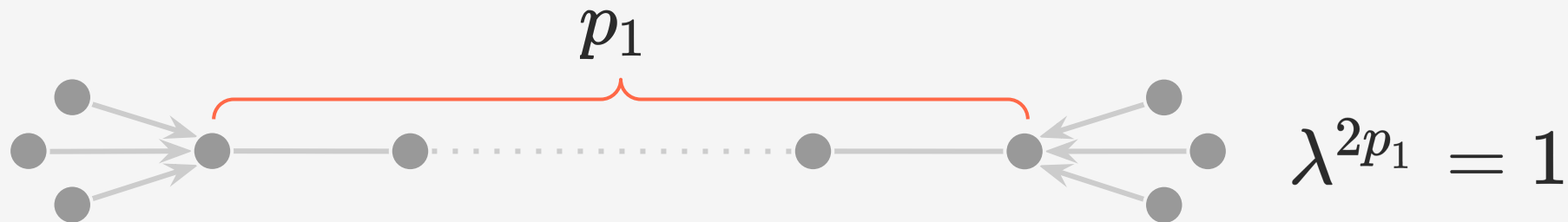
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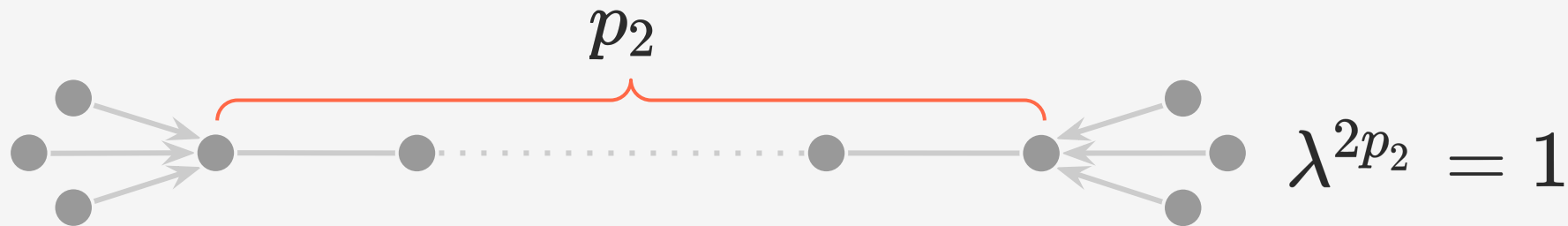
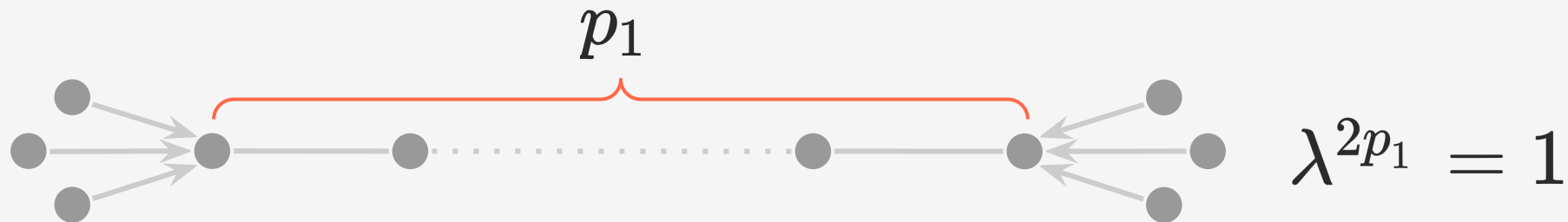
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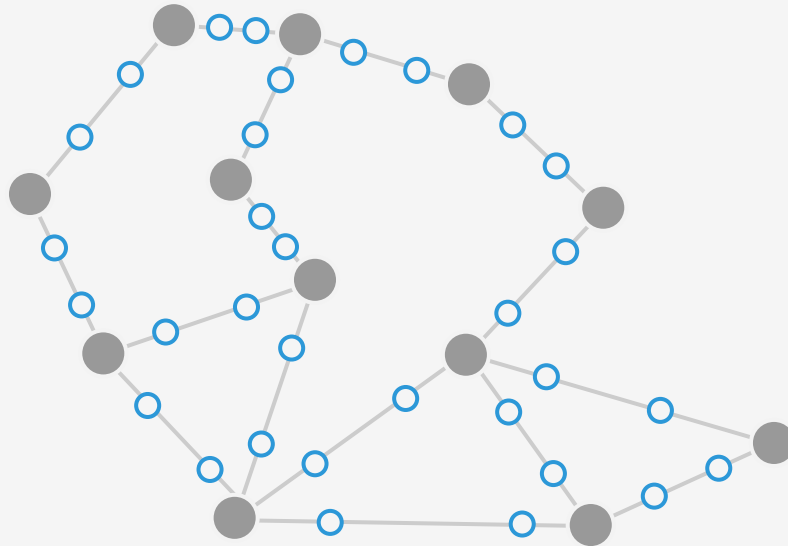
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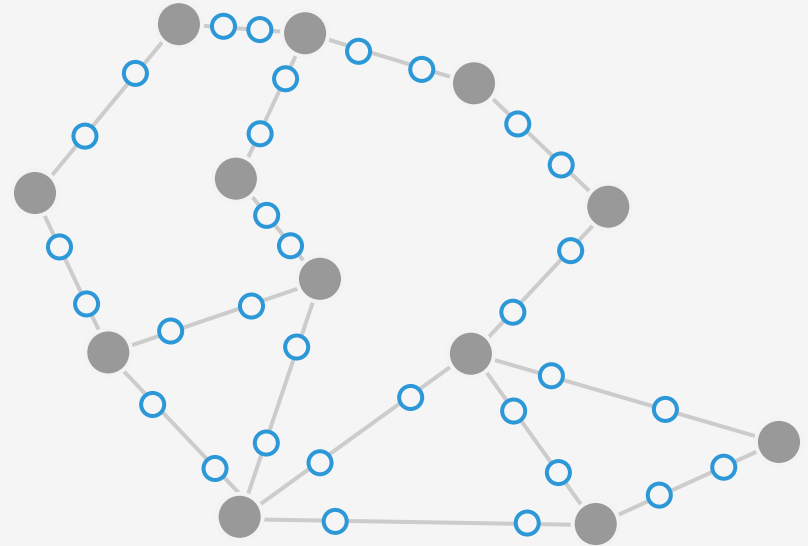
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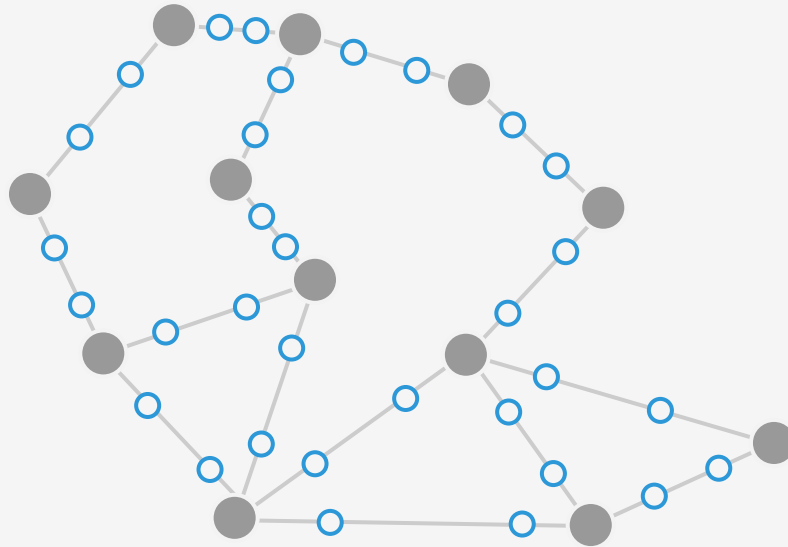
# Graph subdivisions

**Definition:** For a graph  $G$ , its  $p$ -th subdivision is the graph formed by replacing each edge by a chain of length  $p$ .



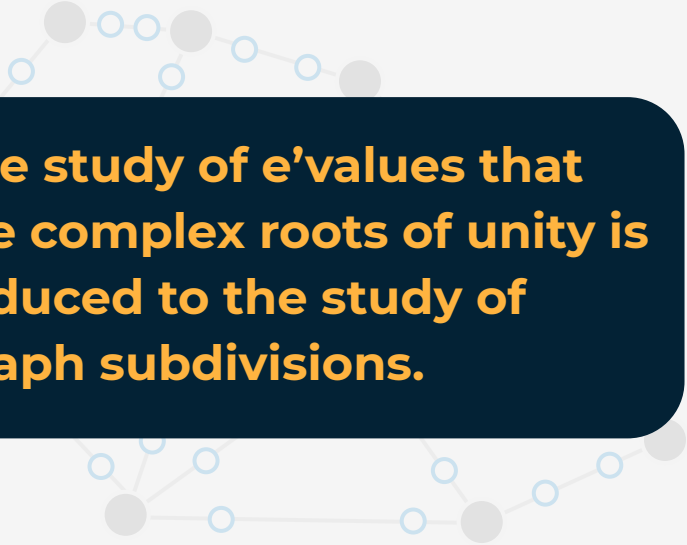
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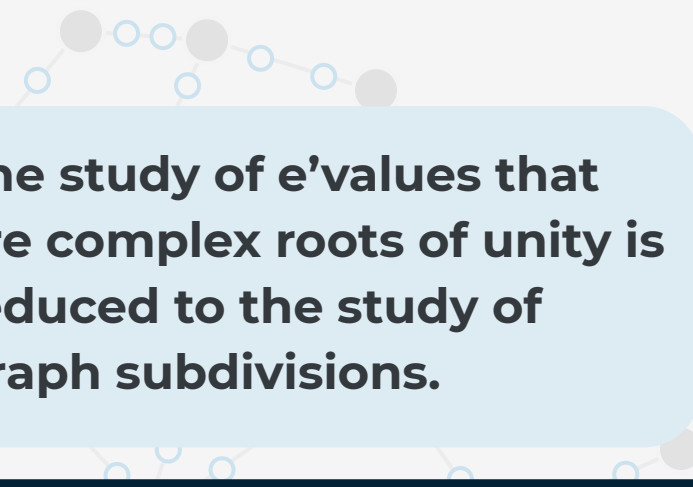
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**This is what's special about unitary e'values.**



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**Theorem:** Let  $H$  be the  $p$ -th subdivision of some graph  $G$  s.t.  $G$  is not the subdivision of any other graph. Suppose  $\lambda$  is a  $p$ -th root of unity. Then  $GM(\lambda) = |E| - |V| + 1$ .

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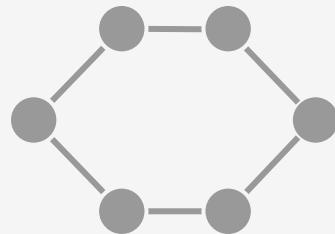
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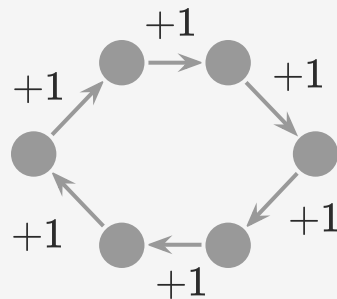
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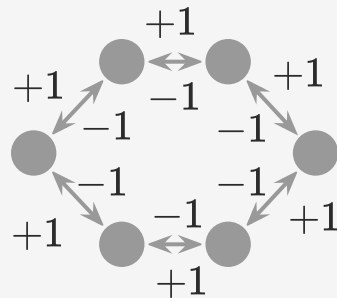
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**Theorem:** Let  $H$  be the  $p$ -th subdivision of some graph  $G$  s.t.  $G$  is not the subdivision of any other graph. Suppose  $\lambda$  is a  $p$ -th root of unity. Then  $GM(\lambda) = |E| - |V| + 1$ .

## Sketch:

- Step 1:  $\lambda^p$  is an e'value of  $G \iff \lambda$  is an e'value of  $H$ .
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$$\begin{cases} \vec{v}^l = 0 & \text{for each node } l, \\ \mathbf{v}_{k \rightarrow l} + \mathbf{v}_{l \rightarrow k} = 0 & \text{for each edge } k - l. \end{cases}$$



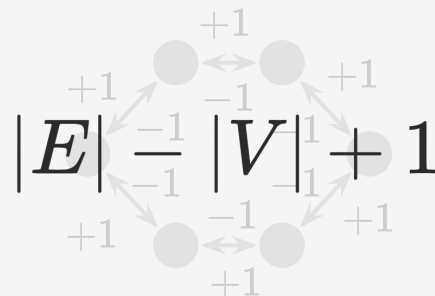
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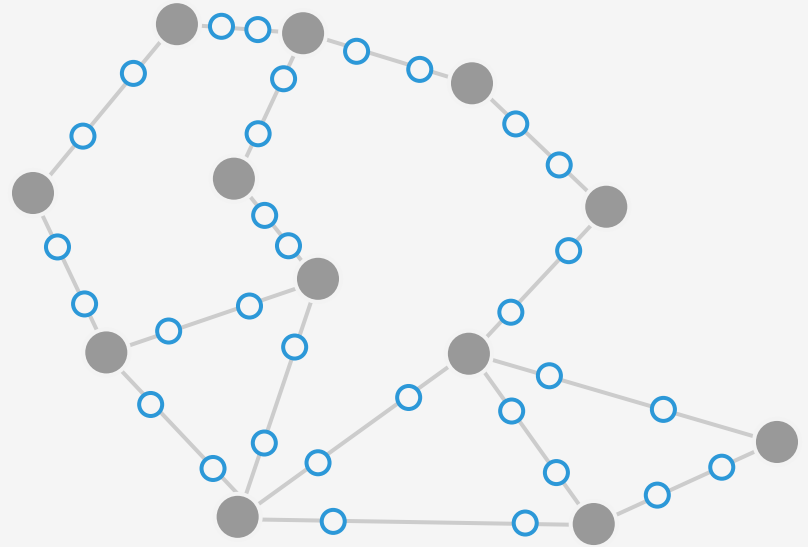
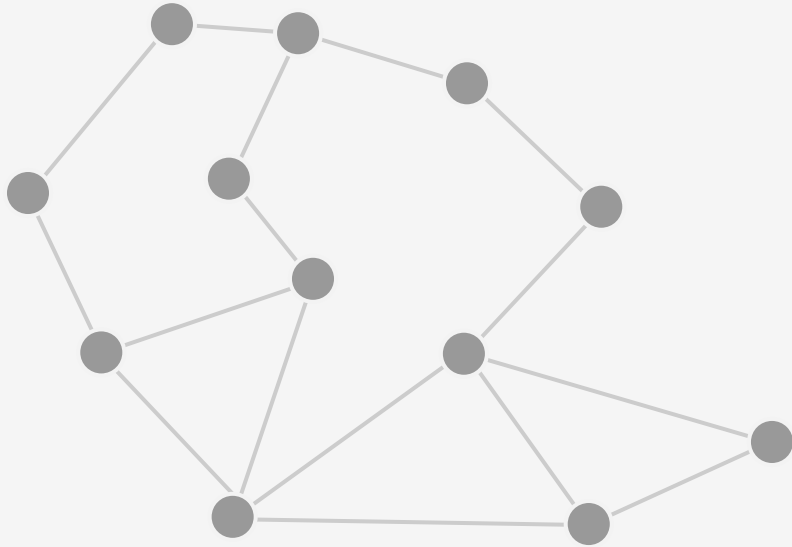
# Gluing a subdivision

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$$H = G \begin{array}{c} \diagdown \\ \diagup \\ \diagdown \\ \diagup \\ \diagdown \\ \diagup \\ \diagdown \\ \diagup \end{array} S_p$$

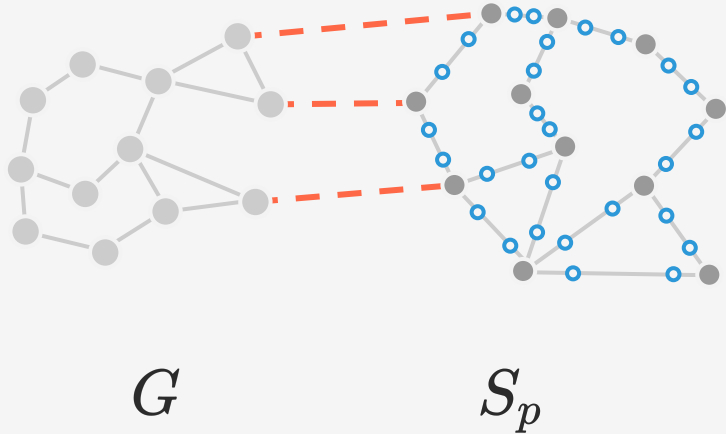
# Some notation

**Definition:** True nodes and subdivision nodes.



# Gluing a subdivision

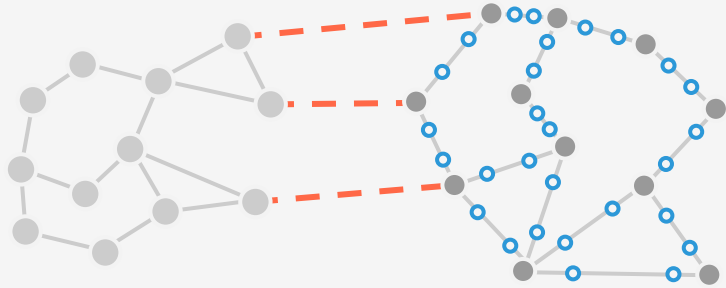
Identify some of the true nodes of  $\mathcal{S}_p$  with a node in  $G$ .





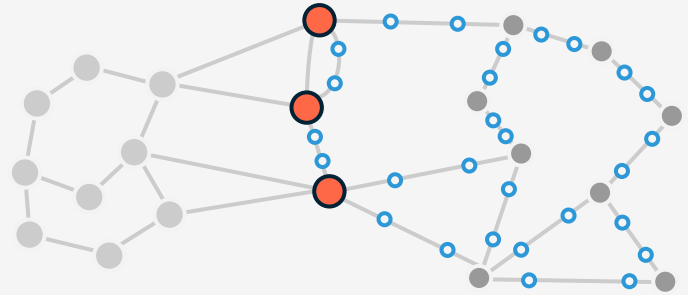
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$G$

$\mathcal{S}_p$

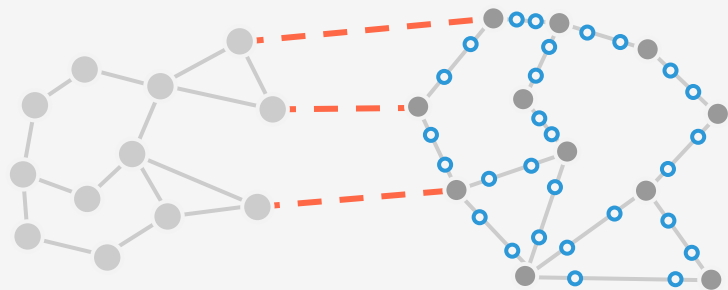


$H$

# Gluing a subdivision

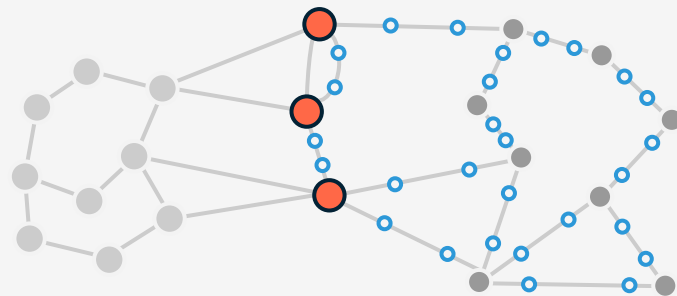
Identify some of the true nodes of  $S_p$  with a node in  $G$ .

**Lemma:** Take an eigenvector of  $S_p$ . Pad it with zeros. Then that vector is “non-leaky” in  $H$ , i.e.  $(d_l - 2) \vec{v}^l = 0, \forall l$ .



$G$

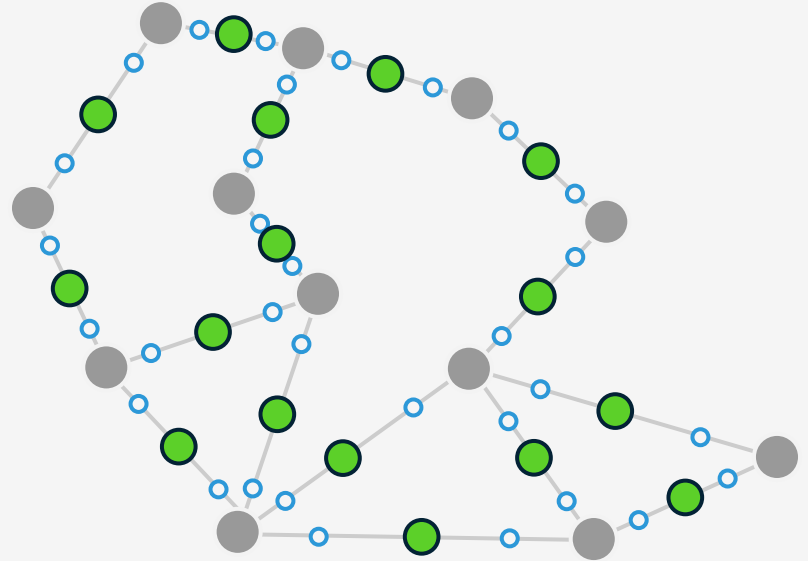
$S_p$



$H$

# Some notation

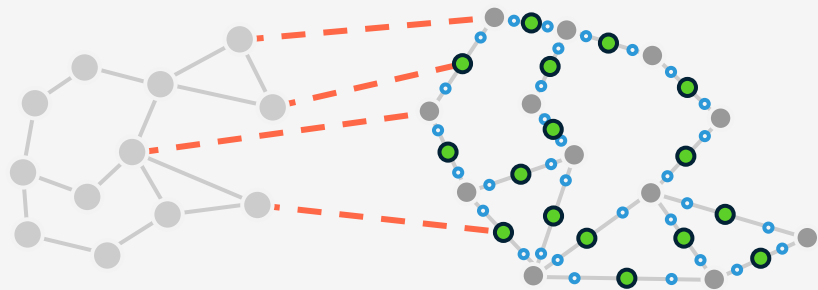
**Definition:** if the subdivision is even, we also have middle nodes.



# Gluing a subdivision

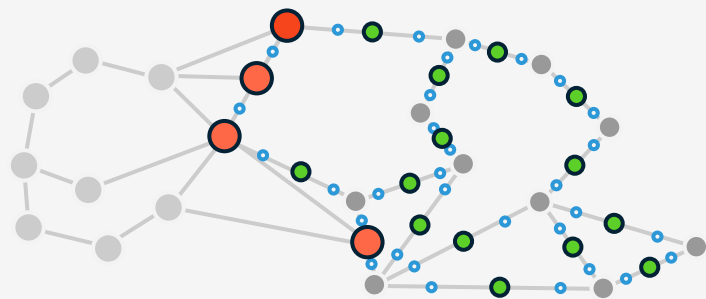
Identify some of the true or middle nodes nodes of  $\mathcal{S}_p$  with a node in  $G$ .

**Lemma:** Take an eigenvector of  $\mathcal{S}_p$ . Pad it with zeros. Then that vector is “non-leaky” in  $H$ , i.e.  $(d_l - 2) \vec{v}^l = 0, \forall l$ .



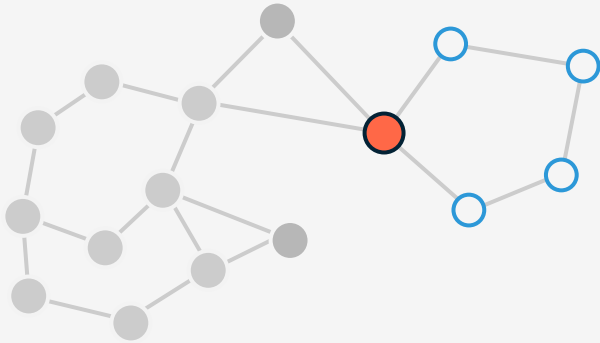
$G$

$\mathcal{S}_p$

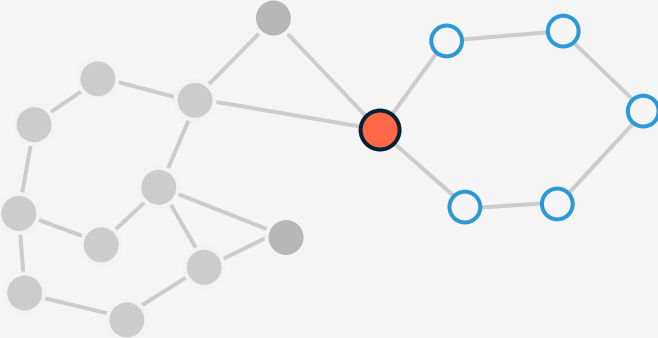
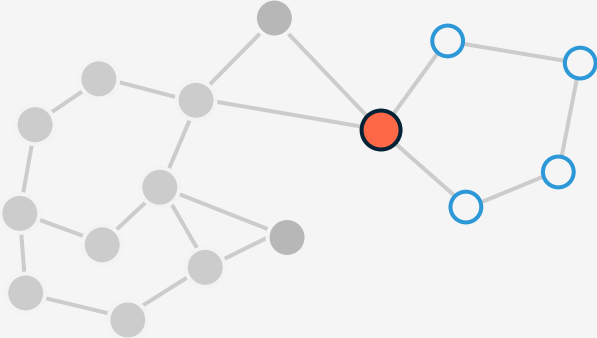


$H$

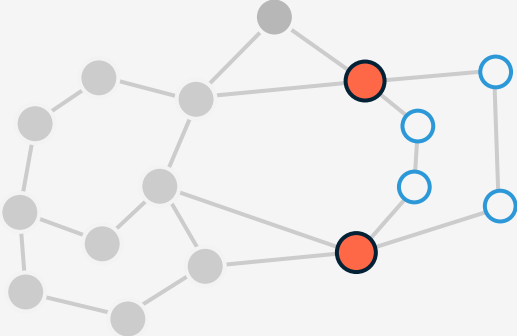
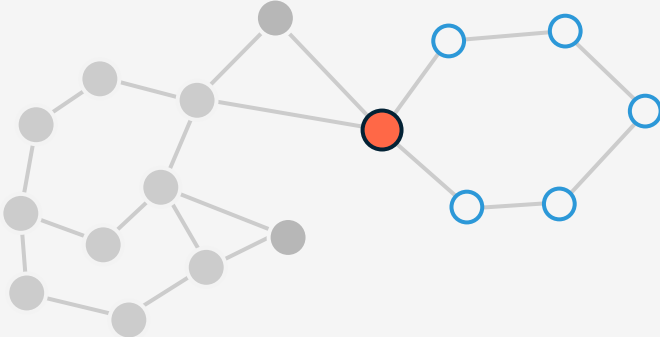
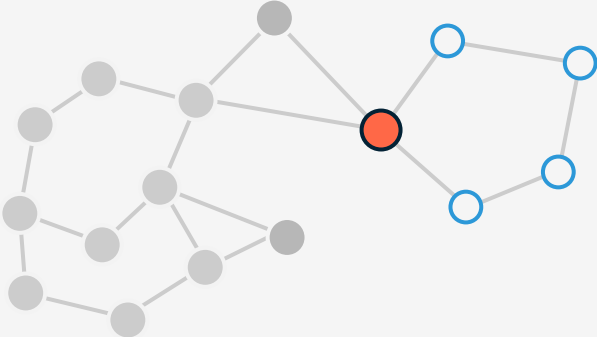
# Examples



# Examples



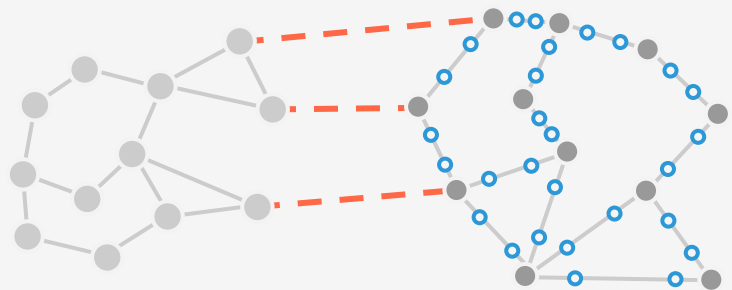
# Examples



# Algebraic multiplicity

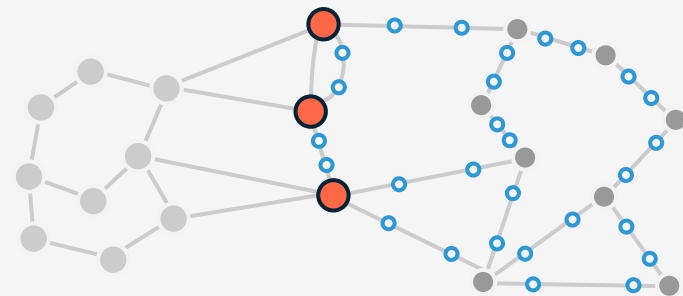


# Algebraic multiplicity



$G$

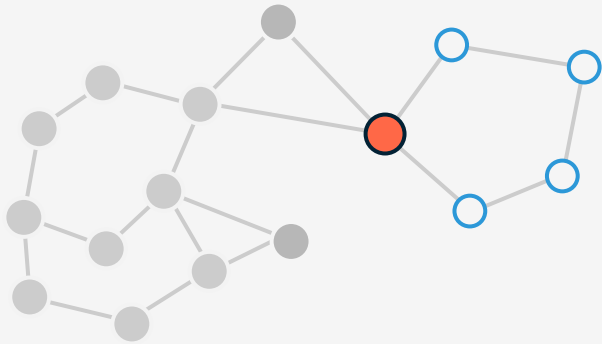
$S_p$



$H$

# Algebraic multiplicity

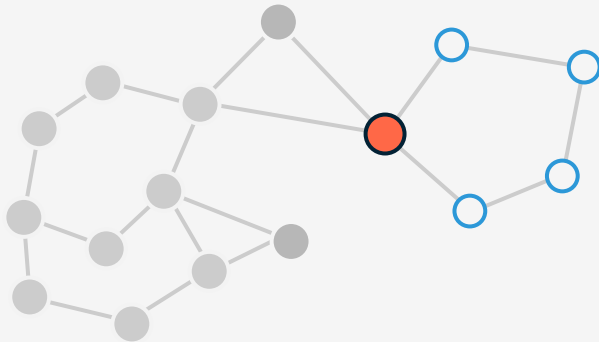
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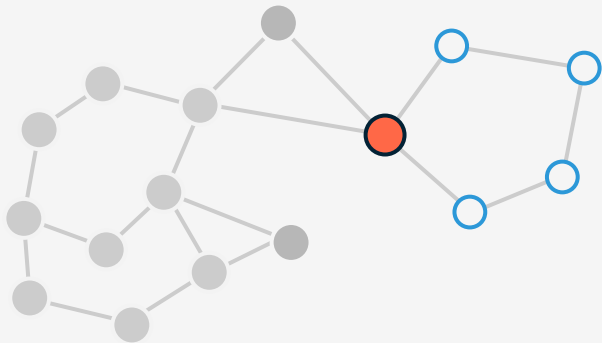
$$\det(B_H - tI) = (t^{2k} - 1) \det(B_G - tI) - 2(t^k - 1) \det(B_G - C_h - tI)$$



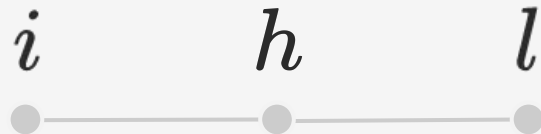
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$$(C_h)_{k \rightarrow l, i \rightarrow j} = \delta_{jh} \delta_{kh}$$



PB